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June 16, 1999

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Assistant Commissioner for Patents

BOX AF

9

Washington, D.C. 20231

Re:

U.S. Patent Application Serial No. 08/993,564

For: CHIMERIC EMBRYOS AND ANIMALS

CONTAINING HUMAN CELLS

Our Reference: 45010-00601

Dear Sir:

Transmitted herewith for filing in the U.S. Patent and Trademark Office are the following documents: (1) Transmittal Form; (2) Fee Transmittal; (3) Patent Application Fee Determination Record; (4) Amendment and Response with Exhibit A; and (5) Check No. 93298 in the amount of \$171.00 to cover the U.S. Government fee.

Please charge any additional fees due, or credit any overpayment, to Deposit Account No. 03-2469.

Sincerely yours,

PATRICK J. COYNE, Reg. No. 31,821 JOHN N. COULBY, Reg. No. 43,565

Enclosures



Please type a plus sign (+) inside this box =

PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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		Application Number	08/993,564	
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date	December 18, 1997	
		First Named Inventor	Stuart Newman 1632 D. Crouch	
		Group Art Unit		
		Examiner Name		
Total Number of Pages in This Submission	47	Attorney Docket Number	45010-00601	

		Examiner Name	р.	Crouen					
Total Number of Pages in This Submis	ssion 47	Attorney Docket Num	ber 45	010-00601					
ENCLOSURES (check all that apply)									
X Fee Transmittal Form X Fee Attached X Amendment / Response After Final X Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR	Assignm (for an / Information of the formation of the for	ment Papers Application) g(s) ng-related Papers Routing Slip (PTO/SB/69 companying Petition vert a onal Application of Attomey, Revocation e of Correspondence) 	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief. Reply Brief) Proprietary Information Status Letter Additional Enclosure(s) (please identify below): Determination					
1.52 or 1.53									
SIGNATU	RE OF APPL	ICANT, ATTORNEY, C	OR AGE	NT					
Firm or Individual name Patrick J. Coyne, Reg. No. 31,821 John N. Coulby, Reg. No. 43,565									
Signature Windows									
Date 6-16-99									
CERTIFICATE OF MAILING									
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:									
Typed or printed name									
Signature			Date						

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PTO/SB/17 (12-98)
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FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT

(\$) 171.00

200 1 OF 18.		_
Co	emplete if Known	1
Application Number	08/993,564	
Filing Dat	December 18, 1997	
First Named Inventor	Stuart Newman	
Examiner Name	D. Crouch	
Group / Art Unit	1632	
Attorney Docket No.	45010-00601	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
3. ADDITIONAL FEES					
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	Large Entity Small Entity			For Date	
Deposit		ode (\$)	Fee Description	Fee Paid	
Account Number	105 130 20	05 65	Surcharge - late filing fee or oath		
Deposit Account Name	127 50 22		Surcharge - late provisional filing fee or cover sheet.		
Charge Any Additional	139 130 13	39 130	Non-English specification		
Fee Required Under 37 CFR 1.16 and 1.17	147 2,520 14	47 2,520	For filing a request for reexamination		
	112 920* 1	112 920*	Requesting publication of SIR prior to Examiner action		
2. Payment Enclosed: Money Other	113 1,840* 1	113 1,840*	Requesting publication of SIR after Examiner action		
EEE CALOUL ATION	115 110 2 ⁻	15 55	Extension for reply within first month		
FEE CALCULATION	116 380 2°	16 190	Extension for reply within second month		
BASIC FILING FEE Large Entity Small Entity	117 870 2 ⁻	17 435	Extension for reply within third month		
Fee Fee Fee Fee Description	118 1,360 2 ⁻	18 680	Extension for reply within fourth month		
Code (\$) Code (\$) Fee Paid 101 760 201 380 Utility filing fee	128 1,850 22	28 925	Extension for reply within fifth month		
106 310 206 155 Design filing fee	119 300 2 ⁻	19 150	Notice of Appeal		
107 480 207 240 Plant filing fee	120 300 2	20 150	Filing a brief in support of an appeal		
108 760 208 380 Reissue filing fee	121 260 2	21 130	Request for oral hearing		
114 150 214 75 Provisional filing fee	138 1,510 1	38 1,510	Petition to institute a public use proceeding		
	140 110 24	40 55	Petition to revive - unavoidable		
SUBTOTAL (1) (\$)	141 1,210 2	41 605	Petition to revive - unintentional		
2. EXTRA CLAIM FEES	142 1,210 2	42 605	Utility issue fee (or reissue)		
Fee from Extra Claims below Fee Paid	143 430 2	43 215	Design issue fee		
Total Claims20** = X =	144 580 2	44 290	Plant issue fee		
Independent - 3** = X =	122 130 1	22 130	Petitions to the Commissioner		
Multiple Dependent	123 50 1	23 50	Petitions related to provisional applications	 	
**or number previously paid, if greater, For Reissues, see below	126 240 1	26 240	Submission of Information Disclosure Stmt		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	581 40 5	81 40	Recording each patent assignment per	 	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	146 760 2	46 380	property (times number of properties) Filing a submission after final rejection		
102 78 202 39 Independent claims in excess of 3			(37 CFR 1.129(a))		
104 260 204 130 Multiple dependent claim, if not paid	149 760 2	49 380	For each additional invention to be examined (37 CFR 1.129(b))		
109 78 209 39 ** Reissue independent claims over original patent	Other fee (spec	_{ifv)} Add	ditional Claim Fee	171.00	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (spec	••		171.00	
SUBTOTAL (2) (\$)			Eas Paid SUBTOTAL (3) (\$) 1	71 00	
SUBTOTAL (2) (\$) Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 171.00					
SUBMITTED BY			Complete (if applied		
Typed or Patrick J. Coyne Printed Name John N. Coulby				\$821 \$865	
Signature	7	Date	6-16-8 Deposit Account User ID		

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JUN 1 6 1999

PTO/SB/06 (8-96)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collect Application or Docket Number PATENT APPLICATION FEE DETERMINATION RECORD 08/993,564 OTHER THAN **CLAIMS AS FILED - PART I SMALL ENTITY** OR **SMALL ENTITY** (Column 2) (Column 1) **FOR** NUMBER FILED **NUMBER EXTRA RATE** RATE **FEE** FEE **BASIC FEE** A A A A A A A The state of the same of \$ \$ OR (37 CFR 1.16(a)) TOTAL CLAIMS minus 20 =OR x \$ (37 CFR 1.16(c)) INDEPENDENT CLAIMS minus 3 = OR (37 CFR 1.16(b)) MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) OR TOTAL OR TOTAL * If the difference in column 1 is less then zero, enter "0" in column 2 OTHER THAN CLAIMS AS AMENDED - PART II OR SMALL ENTITY **SMALL ENTITY** (Column 1) (Column 2) (Column 3) **CLAIMS HIGHEST** ADDI-ADDI-REMAINING **PRESENT** NUMBER **RATE** TIONAL **RATE** TIONAL **AMENDMENT AFTER** PREVIOUSLY **EXTRA FEE** FEE AMENDMENT PAID FOR OR Total Minus = 55 36 19 c \$<u>9</u> 171.00 (37 CFR 1.16(c)) OR Independent Minus 5 5 (37 CFR 1.16(b)) 0 OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) OR TOTAL TOTAL OR 171.00 ADDIT. FEE ADDIT. FEE (Column 1) (Column 2) (Column 3) **CLAIMS HIGHEST** ADDI-ADDI-REMAINING **PRESENT** NUMBER **RATE** TIONAL TIONAL **RATE** 100 **AMENDMENT AFTER PREVIOUSLY EXTRA FEE** million a FEE AMENDMENT PAID FOR OR Total Minus = (37 CFR 1.16(c)) OR *** Independent Minus (37 CFR 1.16(b)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) OR TOTAL TOTAL OR ADDIT, FEE ADDIT. FEE (Column 1) (Column 2) (Column 3) **CLAIMS HIGHEST** ADDI-ADDI-REMAINING **PRESENT** NUMBER **RATE TIONAL** TIONAL **RATE AMENDMENT AFTER PREVIOUSLY EXTRA** FEE **FEE** AMENDMENT PAID FOR OR Total Minus = \$ (37 CFR 1.16(c)) OR Independent *** Minus (37 CFR 1.16(b)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) OR TOTAL TOTAL OR * If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ADDIT. FEE ADDIT. FEE ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.